

**IOWA STATE UNIVERSITY FACULTY SENATE  
EXECUTIVE BOARD MEETING MINUTES**

**August 15, 2006**

**8:00-10:15 a.m.**

**107 Lab of Mechanics**

**Present:** Baldwin, C. (Past President); Bradbury, S. (Design Caucus); Crase, S. (President Elect); Freeman, S. (Judicial); Girton, J. (RPA); Grudens-Schuck, N. (Secretary); Heising, C. (Eng. Caucus); Hendrich, S. (Academic Affairs); Mennecke, B. (Business Caucus); Owen, M. (Ag Caucus); Palermo, G. (President); Porter, M. (Governance); Thompson, J. (Vet Med Caucus); Wallace, R. (LAS Caucus).

**Absent:** Fiore, A. M. (Hum Sci. ); Townsend, A. (FDAR)

**Substitutes:** None.

**Provost Office:** None.

**I. Call to Order – 8:00 a.m.**

**II. Consent Agenda – 8:00 a.m.**

- A. Agenda, Executive Board Meeting, August 15, 2006
- B. Minutes, Executive Board Meeting, May 2 and 9, 2006
- C. Review and approval of Executive Session Retreat Summary
- D. Recording of the vote taken regarding summer graduation

Items A & B approved, with minor corrections taken by President Palermo for Grudens-Schuck. Item C: Summary notes of the Executive Session Retreat were reviewed and accepted. Item D: The following is the text of the recorded vote on 7/18/06:

“With a quorum of the Executive Committee voting, we have unanimously approved the resolution regarding summer graduates: The Faculty Senate Executive Board, acting in summer session on behalf of the Faculty Senate, approves the summer 2006 graduation list as posted on-line at <<http://www.iastate.edu/~registrar/graduation/lists/gradlist.html>>. This approval is subject to verification by the registrar that all graduation requirements for the respective degree programs of each of the candidates have been met.

### **III. Announcements and Remarks – 8:15 a.m.**

- A. President
- B. President-Elect
- C. Provost
- D. Council/Committee Reports

Not all Council spots are filled yet. Discussion of possible value of a flyer or leaflet separate from website to aid in filling council seats. Councils and committees are already listed on web site at <http://www.facsen.iastate.edu/Councils/councils.htm>. This site would not be for Councils and Committees' specific reports.

Senator Owen noted that Jack Payne was providing leadership for distance and continuing education as well as for extension, and could use support for becoming more familiar with academic formal education curriculum issues, maybe through a meeting with Academic Affairs. Dr. Payne has indicated to Past President Baldwin his desire to work with the Senate. The EB is looking forward to a continuation of positive relationships with this office.

Distinguished Professor-University Professor (DP-UP) policies were not implemented as written. The provost's office has created a task group with senate representatives to work on them.

Past President Baldwin announced that Dr. Ann Thompson will chair the review of the Office of the President and that committee is filling.

Senator Heising, reappointed as chair of the Committee on Women and Minorities, reports that this is likely to be an activist year with important follow ups on campus climate study and recommendations.

Past President Baldwin noted that the Open Meeting Policy is in the Faculty Handbook but is not otherwise available broadly to the university community. Secretary Grudens-Schuck will be alerted to any meetings that might be held and will attend as able to track open meeting issues as they are pertinent to FS. Website for Iowa Open Meetings, Open Records Handbook (11<sup>th</sup> ed.) is [http://www.drake.edu/journalism/IFOICWebSite/omor\\_chp21.html](http://www.drake.edu/journalism/IFOICWebSite/omor_chp21.html)

#### **IV. Old Business – 8:30 a.m.**

##### **A. Approval of the NTEF TF Charge and Membership Related to Research Faculty**

President Palermo shared two document drafts regarding NTEF charge and membership that had been developed by Past President Baldwin and TF Chair Denise Vrchota. The Provost is eager for NTEF changes to move forward and would like a standing committee. Still needs a member from Design, which Senator Bradbury will address and follow-up. Past President Baldwin would like this policy to progress to implementation this year. Senator Freeman moved to approve, with Senator Heising seconding.

Motion passed.

#### **V. New Business – 8:50 a.m.**

##### **A. Discussion of New Budget Model**

The council reviewed "Fourth Report (V. 4.1) of the Budget Model Development Committee, Aug. 9, 2006" and received updates from RPA meetings during the summer. President Palermo provided analysis of elements of the report on the model that were crucial for the Faculty Senate to address. Issues mainly addressed the need for shared governance. Language of the report was analyzed for consistency, specificity, and adherence to tenets of shared governance. Several sections, such as on pages 1-2 with reference to "strong leadership" were seen to promote strong shared governance but several sections lacked (a) commitment to involving Faculty Senate as a main representative body in university shared governance, and (b) transparent and direct lines of authority and decision making that made best use of faculty in different roles with respect to administration (Deans, Chairs, etc.). It is moreover a concern that the data sets will be developed centrally with no indication by whom. By December, such processes in colleges need to be in place, yet there was no role yet specified for faculty shared governance.

The Provost has a role in setting the committees with faculty, students, etc., on the committees. Deans should advise advisory boards as well as make their ideas known to the Provost. The Senate is not situated as the shared governance authority. There are no clear statements that decisions would involve faculty as a shared governance partner. President Palermo stated that this issue may be a serious impediment to approval if not rectified as a matter of policy. Senator Owen said that President Geoffrey verbally supported the FS but the sentiment was missing from the document.

Discussion also focused on processes for setting costs and assessing efficiency? Who will do this? What is the role of the advisory groups? Whom does an advisory committee advise? The model does not make this clear. Setting costs, according to Senator Girton, is an essential part of committees and a key advisory group function. Costs would include not just physical plant costs, but recruiting and other academically-focused costs. On page 3, subvention remains historically-based budgeting. Then, according to the diagram, there is no body that determines the "unintended consequences of formulaic distributions." This was also a concern.

Senator Heising noted that Engineering was concerned that carry-over authority was key to implementation of a multi-year budget. Senator Heising also reported that in a simulation run, when Engineering enrollment drops, it affects LAS more than Eng in the short term. This example was provided to illustrate the careful analysis and projections that are needed to understand effects of decisions that inform the model.

A legislative funding percentage increment is still embedded in the process and there is no separate advisory board for this. The illustration denotes "The President" will make decision on distribution. The President needs advisory input – perhaps from the UBAB.

Sponsored funding issues appear confusing. One part of the report says that more of the expenses will go back to the colleges, but with funds to support bills, which currently are taken care of in other ways. It is not clear from the report where the advantage will come from. Senator Girton says that the federal rule says one cannot make a profit from grants, which may be informing this aspect of the chart.

Indirect rates are also a problem. Senator Hendrich would like more detail on what the central administration is going to do in order to understand the issues more clearly. She inquired how will the colleges manage the projected new role. The burden of the individual investigator and departmental finance supports will become greater.

On pages 9-10 there is discussion of common goods services. However, common services that support research were not specified.

The timeline was short for developing responsible shared governance processes. Moreover, it would seem that the Deans must wait for individuals who make the formulas before crafting budgets, which did not seem reasonable.

There was a suggestion of inviting Ellen Rasmussen, Associate Vice President for Budget and Planning, to an EB meeting. Budget models and reports are difficult to digest, then critique, in the larger stakeholder open meetings. Senator Hendrich noted that the central need is for the model to state where faculty will be providing advice in the process. We need to say which places faculty need to be specifically involved. Senator Freeman wants a stronger role than advisory for FS.

At 5:00 pm, Senator Heising moved, and Senator Owen seconded, to extend the EB meeting by ten minutes.

Senator Crase asked how to bring whole FS on board? She noted that there were three meetings. We would need to present in one and then need to vote at the next. Would a special session be needed? Senator Hendrich suggested making use of the Caucuses. Past President Baldwin suggested that Rick Dark join the EB for discussions because he is on the RPA. She and Senator Girton, also on RPA, noted that there was no sense that the RPA will have a role in the new budget model process. Senator Girton reported that at the RPA they were presented with the new iterations of the model by Ellen. Now was time to feed back. He asked for specific questions and suggestions.

Motion to adjourn was made by Senator Wallace, with Senator Owen seconding.

Motion passed.

**NEXT MEETING**  
**September 5, 2006**

**Documents**

1. "2006-07 ISU Faculty Senate Appointments/Policies/Programs Progress Status (draft)" as <06-07\_FacSenStatus02.doc>
2. "Memo 13 July 2006 Re: Topics for the next stage of the Budget Model and Implementation Plan" as <Budget\_Process1.doc>
3. "Memo August 15, 2006 Re: TASK FORCE ON NON-TENURE ELIGIBLE APPOINTMENTS FOR RESEARCH: TASK FORCE CHARGE" as <NTEF\_Research\_TF\_Chrg.doc>
4. "Memo August 15, 2006 Re: TASK FORCE ON NON-TENURE ELIGIBLE APPOINTMENTS FOR RESEARCH: TASK FORCE MEMBERSHIP" as <NTEF\_Research\_TF\_Memb.doc>
5. Three hardcopy informal handouts distributed by President Palermo for budget discussion.
6. "Fourth Report (V. 4.1) of the Budget Model Development Committee, Aug. 9, 2006" at <http://www.iastate.edu/~budgetmodel/reports/080906.shtml>

*Minutes by N. Grudens-Schuck, FS Secretary*