

Resolution adopting the principles of Open Access of Research by the Iowa State University Faculty Senate

WHEREAS:

1. Open access publishing and archiving is central to the long-term viability of the dissemination of scholarship; and
2. Open access contributes to the Land Grant mission of Iowa State University; and
3. Freely accessible scholarship benefits the academy and society at large; and
4. Open access increases networking among scholars with the likelihood that ISU scholarship is more easily discoverable and cited by others; and
5. Open access increases the visibility of ISU scholars' research and thus enhances the university's reputation; and
6. Open access works to *offset* the sharply rising costs for online journals and databases (even if in some cases independent publication costs may exist); and
7. Open access enhances the broad dissemination of scholarly work, consistent with federal agency requirements for public access findings; and
8. Open access contributes to global information sharing, including developing countries that do not have access to expensive databases or journal subscriptions; and
9. A campus-wide open access initiative would provide ISU scholars with leverage to negotiate more favorable copyright terms with publishers; and
10. ISU Library staff already facilitate deposit of faculty publications in the ISU digital repository, with minimal time investment by faculty;

THEREFORE, BE IT RESOLVED that the Faculty Senate strongly encourages ISU scholars when they publish:

1. to seek high quality and rigorous peer-reviewed journals that are broadly recognized by scholarly communities as suitable to meet the standards of promotion and tenure, and that *also*, when possible, allow open access archiving in the ISU Digital Repository; or
2. when possible, to publish in similarly high quality peer-reviewed journals, suitable to meet the standards of promotion and tenure, that are *already* published open access; and
3. to submit their published research to the ISU digital repository as soon as possible after acceptance for publication, and preferably no later than the next year's annual review; and
4. to grant ISU a non-exclusive license to archive selected previously published journal articles in its digital repository.

BE IT FURTHER RESOLVED that the ISU Faculty Senate commission from the Policy Library Advisory Committee (PLAC), in collaboration with the Provost's office, the ISU Library, the ISU Legal department, and other stakeholders, a future Open Access opt-out policy¹ that makes ISU scholars' research as open as possible through deposit of their research into the ISU digital repository; and

BE IT FURTHER RESOLVED that the ISU Faculty Senate strongly encourages colleges and departments across the university to work with library specialists to identify and promote Gold Open Access journals² within each discipline that have, or hold the near-term potential to have, the prestige and rigorous peer review to satisfy our promotion and tenure process, and as a result might become possible avenues for future publishing by our scholars.

¹ An opt-out policy is different from the current ISU policy which is best described as "opt-in," meaning faculty may submit their research to the Digital Repository (DR) in the library if they desire to. Current participation university-wide is about 35% under this model. With the proposed "opt-out" policy the university *expectation* will be depositing research into the DR as soon as possible, considering copyright agreements, embargoes, and other permissions agreed to during the submission process, with the goal of increasing DR submission rates and faculty participation. To be clear from the outset, this "opt-in" policy will not punish faculty in any way for publishing in the journal that best suits their careers and publishing needs. Instead, it will place the expectation upon faculty to submit their research to the Digital Repository in the library (a free-of-cost process), as soon as it is eligible for submission (preferably within a year if allowed by the publishers), making it Open for the world to read.

² Gold Open Access refers to journals that are published Open Access as their publication model. Green Open Access refers to archiving of research that was originally published in any format (open or toll-based subscription journals) in a Digital Space such as ISU's Digital Repository, PLOS, or other open access digital space. This policy makes no *requirement* to publish in Gold Journals, but instead resolves departments, in collaboration with library specialists, to *identify* quality Gold Journals in each discipline as prospects for current and/or future publishing.